



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/812,902	03/31/2004	Craig Farr	SSB0004-US

27510
 KILPATRICK STOCKTON LLP
 607 14TH STREET, N.W.
 SUITE 900
 WASHINGTON, DC 20005

CONFIRMATION NO. 4994

FORMALITIES LETTER



OC000000012908729

Date Mailed: 06/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

08/27/2004 SSESHE1 00000063 10812902

01 FC:1051

130.00 OP

Revised

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



SSB0004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Craig FARR

Group Art Unit: 3624

Serial No.: 10/812,902

Examiner: To Be Assigned

Filed: March 31, 2004

For: METHOD AND SYSTEM FOR PROVIDING DIVIDEND ENHANCED
CONVERTIBLE STOCKS WITH ACCELERATION TRIGGERS

TRANSMITTAL OF MISSING PARTS OF NONPROVISIONAL APPLICATION

U.S. Patent and Trademark Office
220 20th Street South
Customer Window, Mail Stop Missing Parts
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

Responsive to the Notice to File Missing Parts of NonProvisional Application -- Filing Date Granted mailed June 9, 2004, the following items are submitted herewith to complete the formal filing requirements for the above-identified application:

- (1) Declaration for this patent application executed by the inventor;
- (2) Petition for One-Month Extension to File;
- (3) Checks for the following:
 - \$130.00 for surcharge fee pursuant to 37 C.F.R. § 1.16(e);
 - \$110.00 for one-month extension fee pursuant to 37 CFR § 1.17(a)(1);

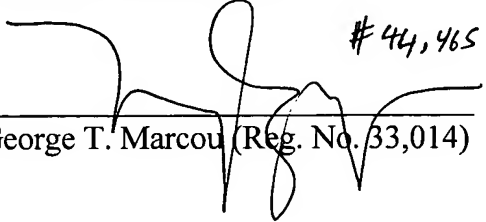
and

- (4) A copy of the Notice to File Missing Parts of NonProvisional Application -
Filing Date Granted mailed on June 9, 2004.

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same, or to credit any overpayment, to Deposit Account No. 501458. A duplicate copy of this correspondence is enclosed for that purpose.

Date: 8/25/04
KILPATRICK STOCKTON LLP
607 14th Street, N.W., Suite 900
Washington, D.C. 20005
(202) 508-5800

Respectfully submitted,

 # 44,465

George T. Marcou (Reg. No. 33,014)

for